

# RDA vs AACR

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*Presented by*



*Illinois Heartland Library System*

# Topics

- General differences between RDA and AACR
- Comparison of terms
- General concepts of RDA
- MARC coding
  - ◆ Identifying an RDA record
  - ◆ Differences in specific fields--books

# What's different in RDA

- Terminology
- More options
- How bibliographic data is entered
  - ◆ What information is included
  - ◆ How information is recorded, i.e., capitalization, punctuation, abbreviations, etc.
- New MARC tags

# Comparison of Terms

## RDA

- Creator—1XX
- Contributor—7XX
- Access point
  - ◆ Authorized access point—access point in form established in authority record
- Preferred source

## AACR

- Main entry—1XX
- Added entry—7XX
- Heading

- Chief source of information

# Structure of RDA Toolkit

- Based on FRBR (*Functional Requirements for Bibliographic Records*)
- Divided into sections with instructions that apply to all formats
  - ◆ Sections on recording attributes of works, expressions, manifestations, and items
  - ◆ Sections on recording relationships
  - ◆ No separate chapters for type of material
- Appendices with instructions on capitalization, abbreviations and symbols, initial articles, etc.

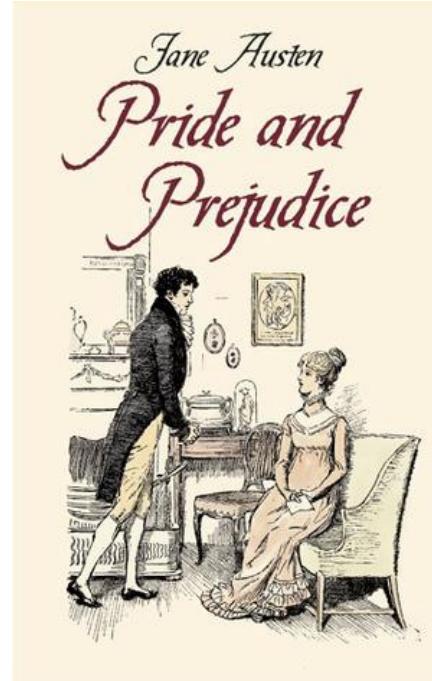
# RDA--Attributes and Relationships

- Attributes
  - ◆ Descriptive elements of a resource
    - ☞ Title, statement of responsibility, series, subjects, etc.
- Relationships
  - ◆ How attributes relate to a resource
    - ☞ i.e., Name of author, illustrator, editor, etc.
  - ◆ How resources relate to other resources
    - ☞ i.e., Film that is an adaptation of a book

# Example--Relationship of an attribute to a resource

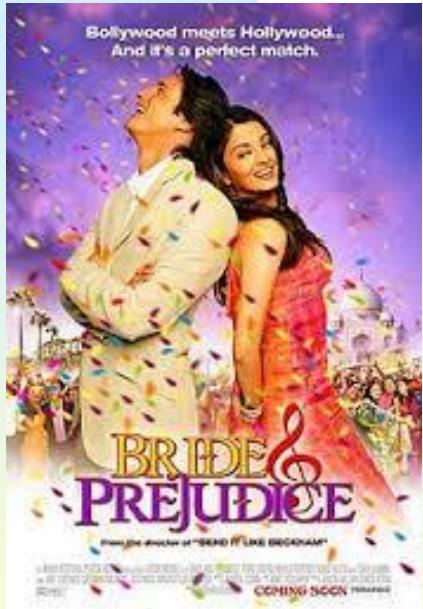


Is the author of

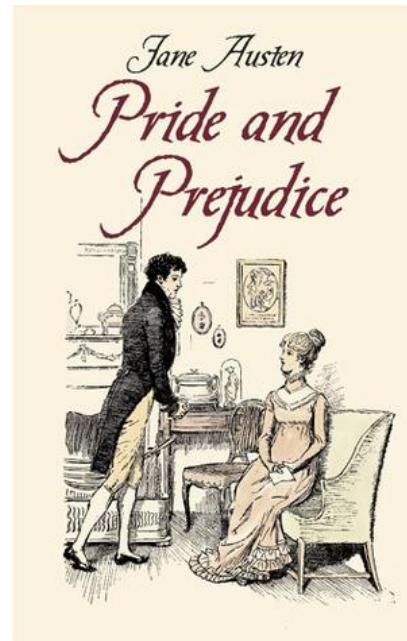


100 1\_ \$aAusten, Jane, \$d1775-1817, \$eauthor.  
245 10 \$aPride and prejudice / \$cJane Austen.

# Example--Relationship of one resource to another



Is an adaptation of



245 00 \$aBride and prejudice

500 \_ \_ \$aBased on the novel Pride and prejudice by Jane Austen.

700 1\_ \$aAusten,Jane,\$d1775-1817.\$tPride and prejudice.

# RDA--Core elements

- Elements that must be recorded if present on the resource
- Most are required in both RDA and AACR
- RDA considers some elements optional that AACR requires
- LC has designated some elements as core that RDA doesn't require
  - ◆ SHARE cataloging follows LC practice, with some local exceptions

# Core elements

- Title proper
- Parallel title and other title information are core for LC—optional for RDA
- Statement of responsibility--author, illustrator, editor, etc.
- Publication information—place of publication, name of publisher, publication date
- Edition statement
- Series statement
- Identifier, i.e., ISBN

# Core elements—cont.

- Physical description—
  - ◆ Extent is core for RDA
  - ◆ Illustrative content and dimensions are core for LC

# Sources of information

- **RDA**
  - ◆ Title page **or**
  - ◆ The area on the item that gives the most complete information, i.e, cover, spine, caption, other preliminary pages, colophon,
  - ◆ Information is recorded in brackets only if it comes from somewhere other than the resource itself
- **AACR**: Chief source of information
  - ◆ Title page or title page substitute
  - ◆ Information is recorded in brackets if it comes from other than the chief or prescribed source of information, even if it's on the resource itself
    - ☞ i.e., author's name listed only in introduction

# Creator/Main Entry--1XX

## ■ RDA--Creator

- ◆ Subfield e (relator term) or 4 (relator code) in 1XX contains term or code that indicates relationship

100 1\_ \$aVivaldi, Antonio,\$d1678-1741,\$ecomposer.

100 1\_ \$aVivaldi, Antonio,\$d1678-1741,\$4cmp

## ■ AACR—Main entry

- ◆ Subfield e or 4 is not used with 1xx
- ◆ Hybrid records may or may not contain subfield e or 4 in 1XX

# Creator/Main Entry--1XX

- **RDA**

- ◆ Allows fictitious characters and family names to be recorded as creator (1XX) when appropriate

- **AACR**

- ◆ Fictitious characters and family names may only be used as subjects (6XX), not as main entry (1XX)
  - ◆ *SHARE local practice*: edit AACR records in Polaris (not OCLC) to record fictitious characters and family names as main entry when appropriate

# Contributor/Added Entry--7XX

- **RDA--Contributor**

- ◆ Subfield e (relator term) or 4 (relator code) in 7XX contains term or code that indicates relationship

700 1\_ \$aStreep, Meryl,\$eactor,\$edirector.

700 1\_ \$aStreep, Meryl,\$4act\$4drt

- **AACR/Hybrid—Added entry**

- ◆ Optional to include subfield e or 4

# MARC Coding—identifying an RDA record

- **MARC tag 040**
  - ◆ RDA--will contain subfield e with code *rda*
  - ◆ AACR—subfield e will be absent or will be coded differently
- **Fixed field Desc (Descriptive cataloging form)**
  - ◆ RDA--will be coded *c*, *i*, or will be blank
    - ☞ Code *i* is most common
  - ◆ AACR—will be coded *a*

# MARC coding--brackets

## ■ RDA

- ◆ Subfields are bracketed separately

264 \_1\$a[Barnesville, Ohio] :\$b[First United Methodist Church],\$c[2014]

## ■ AACR

- ◆ Subfields are bracketed together

260 \_ \_\$a[Barnesville, Ohio :\$bFirst United Methodist Church,\$c2014]

# Differences in specific fields

- **1XX**
- **245**
- **250**
- **260/264**
- **3XX**
- **5XX**
- **7XX**

# Transcription of Title

- **RDA**—Commonly entered as in AACR; optionally, may transcribe capitalization as it appears on the source
  - ☞ *SHARE local practice*: If title is in all capitals, change to AACR format to distinguish from on-order records
- **AACR**--Only first word and proper nouns are capitalized
- **Both**—introductory phrases are not transcribed as part of title

# Title Punctuation

- **RDA**
  - ◆ Transcribed as it appears
  - ◆ Punctuation may be added if needed for clarity, i.e., to separate items in a list
- **AACR**
  - ◆ Transcribed as it appears, except ... which is replaced with -- and [ ] which is replaced with ( )
  - ◆ Punctuation may be added if needed for clarity

# Errors in the title

- **RDA:** Transcribed as it is on the source; variant title added with correct form

On title page: Songs for sumner fun

- ◆ 245 10\$aSongs for summer fun.
- ◆ 246 3\_\$aSongs for summer fun

- **AACR:** transcribed as it is on the source; [sic] or [i.e. with corrected form] inserted; variant title added with correct form

On title page: Songs for sumner fun

- ◆ 245 10\$aSongs for summer [sic] fun. or
- ◆ 245 10\$aSongs for summer [i.e. summer] fun.
- ◆ 246 3\_\$aSongs for summer fun

# EXAMPLE

## **GRANTSMANSHIP** for Small Libraries and School Library Media Centers

### RDA option /AACR

245 1 0 Grantsmanship for small libraries and school library media centers ,

### Another RDA option

245 1 0 GRANTSMANSHIP for Small Libraries and School Library Media Centers ,

# General material designation (gmd)—245 subfield h

- **RDA**
  - ◆ Does not use gmd
    - ☞ Replaced by 336, 337, 338 fields
- **AACR**
  - ◆ Gmd is included in records for non-print formats
    - ☞ OCLC will begin stripping gmds in March 2016
- *SHARE local practice*: add gmd as needed to records for non-print formats and large print

# Statement of Responsibility

- Those responsible for intellectual and creative content
- **RDA (Creator/contributor)**
  - ◆ Transcribed from source
    - ☞ May include affiliations, titles of nobility, honor, and distinction, etc.
- **AACR (Main entry/added entry)**
  - ◆ Transcribed from source
    - ☞ Omits titles of nobility, address, honor, and distinction (with some exceptions)

# Statement of Responsibility—more than three names for one function

## ■ RDA

- ◆ Options:
  - ☞ All names transcribed                              *or*
  - ☞ First name transcribed, and any others as desired; phrase “[and ... others]” with appropriate number (numeral or spelled out) added

- ◆ First name recorded in 1XX as creator; other(s) in 7XX

### Examples:

On title page: by Stephen King, Kitty Vaughn, Dean Koontz, Melanie Tem, and Jack Green

In record: \$cby Stephen King, Kitty Vaughn, Dean Koontz, Melanie Tem, and Jack Green.                      *or*

\$cby Stephen King, Dean Koontz [and 3 others].                      *or*

\$cby Stephen King [and four others].

# Statement of Responsibility—more than three names for one function

## ■ AACR

- ◆ First name transcribed; phrase “... [et al.]” added
- ◆ First name only recorded as added entry in 7XX

## Example

On title page: by Stephen King, Kitty Vaughn, Dean Koontz, Melanie Tem, and Jack Green

In record: \$cby Stephen King ... [et al.].

# Example

**RDA--245 14 \$aThe Joys of Cataloging and Classification /\$cby Jane Blank, M.L.S., University of Alaska, with the assistance of Ariel Watson, M.L.S., University of Washington ; introduction by Becka Holmes, Ph.D., University of New Mexico.**

**AACR--245 14 \$aThe joys of cataloging and classification /\$cby Jane Blank with the assistance of Ariel Watson ; introduction by Becka Holmes.**

# Statement of Responsibility— grammatically linked noun or noun phrase

- **RDA**

- ◆ Treated as part of statement of responsibility—  
recorded in subfield c

245 10\$aWinter in Alaska /\$cpoems by Mary A. Reed.

- **AACR**

- ◆ Recorded in subfield b

245 10\$aWinter in Alaska :\$bpoems /\$cby Mary A. Reed.

# Edition Statement--250

## ■ RDA

- ◆ Transcribed from source, including capitalization and abbreviations

On item: Sixth Edition; In record: 250 \_ \_\$aSixth Edition.

## ■ AACR

- ◆ Abbreviated as instructed in Appendix B

On item: Sixth edition; In record: 250 \_ \_\$a6<sup>th</sup> ed.

On item: Second revised ed.; In record: 250 \_ \_\$a2<sup>nd</sup> rev. ed.

# Publication Information— 260 or 264

- **RDA**
  - ◆ Most have 264
  - ◆ Records created before implementation of 264 (2011) may have 260
- **AACR/Hybrid**
  - ◆ AACR-only will have 260
  - ◆ Hybrid may have either 260 or 264

# Publication, distribution, etc.

## ■ RDA

- ◆ May have multiple 264s for different functions—denoted by 2<sup>nd</sup> indicator

264 \_0\$aPlace of production :\$bProducer,\$cProduction date.

264 \_1\$aPlace of publication :\$bPublisher,\$cPublication date.

264 \_2\$aPlace of distribution :\$bDistributor,\$cDistribution date.

264 \_3\$aPlace of manufacture :\$bManufacturer,\$cDate of manufacture.

264 \_4\$cCopyright date

# Publication, distribution, etc.—cont.

## ■ AACR

- ◆ All information is in a single 260
  - ☞ Subfields a and b are repeatable
  - ☞ Subfield c is not repeatable

260 \_ \_\$aPlace of publication, distribution, etc. :\$bPublisher, distributor, etc.,\$cDate(s).

# Place of Publication

## ■ RDA options

- ◆ First named place recorded, regardless of country of cataloging agency                    *or*
- ◆ Only first named place recorded

## ■ AACR

- ◆ First named place recorded
- ◆ If first named place is not in country of cataloging agency, first named place in country of cataloging is also recorded

# Place of Publication—cont.

- **RDA**
  - ◆ Transcribed as it appears on item, including abbreviations
  - ◆ Place names may be added for clarity
    - ☞ In brackets and spelled out
- **AACR**
  - ◆ Abbreviated places transcribed as they appear
  - ◆ Spelled-out countries, states, provinces, etc. are abbreviated as instructed in Appendix B
  - ◆ Place names may be added for clarity
    - ☞ In brackets and abbreviated as instructed in Appendix B

# No place of publication on the item

- **RDA**
  - ◆ Place name is entered in brackets *or*
  - ◆ Phrase “[Place of publication not identified]” is used
  - ◆ LC practice is to supply a place if possible
- **AACR**
  - ◆ Place name is entered in brackets *or*
  - ◆ Abbreviation “[S.I.]” is used

# Example

## RDA

264 \_1\$aLondon or

264 \_1\$aLondon ;\$aChicago ;\$aNew York  
;\$aParis

## AACR

260 \_ \_\$aLondon ;\$aChicago

# Publisher

- **RDA**

- ◆ Transcribed as it appears on resource

Windmill Books, Inc., an imprint of Rosen Publishing LTD

- **AACR**

- ◆ Name recorded in the shortest form that still clearly identifies the entity

☞ Abbreviations such as Inc., LTD, etc. are not recorded

Windmill Books

- ◆ Appendix B prescribes abbreviation of some terms

# Publisher name unclear or absent

## ■ RDA

- ◆ Probable name supplied in brackets *or*
- ◆ Phrase “[publisher not identified]” is used
- ◆ Distributor name supplied if available and no publisher

## ■ AACR

- ◆ Probable name supplied in brackets *or*
- ◆ Abbreviation “[s.n.]” is used

# Dates

## ■ RDA

### ◆ Publication date

- ☞ Recorded in 264 \_1, subfield c
- ☞ If no publication date on item, copyright date recorded in brackets as implied publication date

### ◆ Copyright date

- ☞ Recorded in 264 \_4, subfield c
- ☞ Date is preceded by copyright symbol or the word “copyright”

On item: no pub. date, copyright 2012. In record: 264 \_1\$c[2012]

264 \_4\$c©2012

## ■ AACR

### ◆ Publication date and copyright date

- ☞ Recorded in 260, subfield c
- ☞ Copyright date preceded by lowercase c

On item: publication date 2013, copyright 2012. In record: 260 \_ \_\$c2013, c2012.

# No date on item

## ■ RDA

- ◆ Estimated date recorded in brackets or
- ◆ Phrase “[date of publication not identified]” is used

## ■ AACR

- ◆ Estimated date recorded in brackets

**Examples:** [2010]—implied or probable year

[2010?]—possible year

[199-?]—possible decade

[19--?]—possible century

**Note:** Phrase “[n.d.]” is sometimes seen in pre-AACR records

# EXAMPLE—Publication and copyright date

1999

Libraries Unlimited, Inc.  
Englewood, Colorado  
Copyright © 1999

## RDA

264 1 Englewood, Colorado. : #b Libraries Unlimited, Inc., #c 1999.

264 4 #c ©1999

DtSt t Dates 1999 , 1999

## AACR

260 Englewood, Colo. : #b Libraries Unlimited, #c 1999.

DtSt s Dates 1999

# EXAMPLE—Copyright date only

Libraries Unlimited, Inc.  
Englewood, Colorado

Copyright © 1999

## RDA

264		1	Englewood, Colorado. : #b Libraries Unlimited, Inc., #c [1999]
264		4	#c ©1999
DtSt	t	Dates	1999 , 1999

## AACR

260		Englewood, Colo. : #b Libraries Unlimited, #c c1999.
DtSt	s	Dates 1999

# Physical description—MARC tag 300

- Few differences between RDA and AACR
- **RDA**
  - ◆ Does not use abbreviations
    - ☞ May see variations in spelling (i.e., color or colour)
  - ◆ “cm” considered a symbol
- **AACR**
  - ◆ Abbreviate as instructed in Appendix B
  - ◆ “cm” considered an abbreviation

# Pages not numbered

- **RDA**
  - ◆ Phrase “[number] unnumbered pages” is used  
(number is not bracketed)        *or*
  - ◆ Phrase “1 volume (unpaged)” is used
- **AACR**
  - ◆ Supplied number recorded in brackets    *or*
  - ◆ Phrase “1 v. (unpaged)” is used

# Example

## RDA

300 \_ \_\$a14 pages :\$bcolor illustrations ;\$c22 cm.

## AACR

300 \_ \_\$a14 p. :\$bcol. ill. ;\$c22 cm.

## Both

Ends in period if followed by series statement  
(MARC tag 490)

# MARC tags 336, 337, 338

- Present in RDA records and hybrid records
  - ◆ Physical characteristics based on format
    - Subfield a contains term
    - Subfield b contains coded form of term
    - Subfield 2 contains source of term
    - Subfield a or b required; optional to include both
      - OCLC macro provides both
- If record contains more than one format, will have 336, 337, and 338 for each format

# MARC tags 336, 337, 338

- In book records:

## 336 Content type

General type of content of the resource\*

336\_\_\$atext\$btxt\$2rdacontent

## 337 Media type

General type of intermediation device required to view, play, run, etc. the content of a resource.\* (Books do not require a device, so are unmediated)

337\_\_\$aunmediated\$bn\$2rdamedia

# MARC tags 336, 337, 338—cont.

## 338 Carrier type

Format of the storage medium and housing of a carrier in combination with the media type\*

338\_\_\$avolume\$bnc\$2rdacarrier

\*Definitions of fields are from MARC21 site on loc.gov

# Example

## Book with accompanying music CD

336 \_ \_\$atext\$btxt\$2rdacontent

336 \_ \_\$a performed music\$bprm\$2rdacontent

337 \_ \_\$aunmediated\$bn\$2rdamedia

337 \_ \_\$a audio\$bs\$2rdamedia

338 \_ \_\$avolume\$bnc\$2rdacarrier

338 \_ \_\$aaudio disc\$bsd\$2rdacarrier

# Other 3XX tags

If book is large print

340 Physical medium

340 \_ \_\$nlarge print\$2rda

# Note fields—MARC tag 5XX

- **RDA**
  - ◆ Does not prescribe an order of notes
- **AACR**
  - ◆ Notes entered in order of importance of the information, not numerically by tag
  - ◆ Order differs for different formats

# RDA record—fields with changes

Desc

i

040 | | | IEU #b eng #e rda #c IEU

100 1 | Hall-Ellis, Sylvia D., #e author.

245 1 0 Grantsmanship for small libraries and school library media centers / #c Sylvia D. Hall-Ellis [and three others] ; edited by Frank W. Hoffmann.

264 1 | Englewood, Colorado. : #b Libraries Unlimited, Inc., #c 1999.

264 4 | #c ©1999

300 | | xiii, 173 pages : #b illustrations ; #c 26 cm

336 | | text #b txt #2 rdacontent

337 | | unmediated #b n #2 rdamedia

338 | | volume #b nc #2 rdacarrier

504 | | Includes bibliographical references (pages 101-114) and index.

700 1 | Hoffmann, Frank W., #d 1949- #e editor.

# AACR record—fields with changes

Desc

a

040    DLC #b eng #c DLC #d BAKER :

245 0 0 Grantsmanship for small libraries and school library media centers / #c Sylvia D. Hall-Ellis ... [et al.] ; edited by Frank W. Hoffmann.

260      Englewood, Colo. : #b Libraries Unlimited, #c 1999.

300      xiii, 173 p. : #b ill. ; #c 26 cm.

504      Includes bibliographical references (p. 101-114) and index.

700 1      Hall-Ellis, Sylvia D.

700 1      Hoffmann, Frank W., #d 1949-

# Where to find the rules

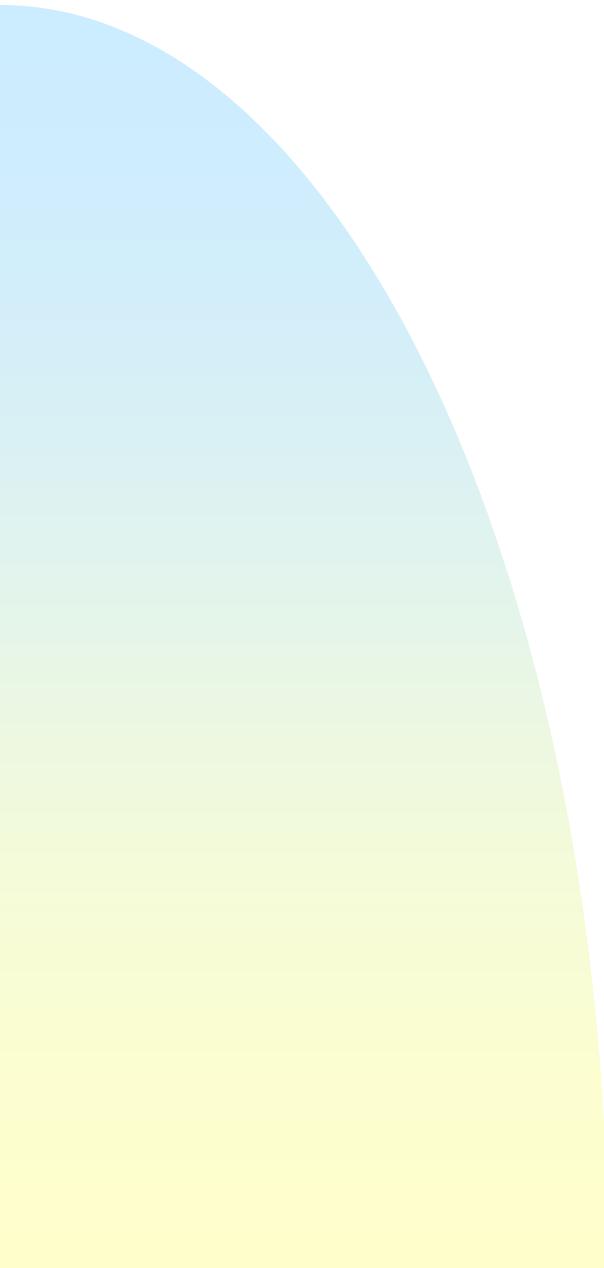
- RDA— *Resource Description and Access*
  - ◆ Online at [www.rdata toolkit.org](http://www.rdata toolkit.org)
    - ☞ Yearly subscription
  - ◆ Print through ALA
- AACR-- *Anglo-American Cataloguing Rules*
  - ◆ Print only, through ALA

# SHARE local practice—RDA and hybrid records

- Do not make changes to RDA or hybrid records, EXCEPT:
  - ◆ Add a gmd (245 \$h) to records for non-print materials and large print books. Use the terms in AACR, para. 1.1C1, list 2
  - ◆ Edit as needed to fix incorrect cataloging (i.e., fixed field coding is wrong) or to add subject headings, notes, summaries, etc.
  - ◆ If a title has been entered in all capital letters, edit to AACR formatting. Otherwise, accept title formatting as it appears. This applies to a title in any MARC field in the record
- Verify names, series, and subjects as usual

# RDA implementation in SHARE

- RDA implementation subcommittee
  - ◆ Subcommittee of SHARE Bibliographic and Cataloging Standards Committee
  - ◆ Preparing proposal for implementation of RDA cataloging in SHARE
    - ☞ Database cleanup
    - ☞ Training
    - ☞ Review of options
- Committee will formulate recommendations for local practice on RDA options
  - ◆ Will present recommendations for membership vote



# Questions?