

# RDA vs AACR

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*Presented by*



*Illinois Heartland Library System*

# Topics

- General differences between RDA and AACR
- Comparison of terms
- General concepts of RDA
- MARC coding
  - ◆ Identifying an RDA record
  - ◆ Differences in specific fields--books

# What's different in RDA

- Terminology
- More options
- How bibliographic data is entered
  - ◆ What information is included
  - ◆ How information is recorded, i.e., capitalization, punctuation, abbreviations, etc.
- New MARC tags

# Comparison of Terms

## RDA

- Creator—1XX
- Contributor—7XX
- Access point
  - ◆ Authorized access point—  
access point in form  
established in authority  
record
- Preferred source

## AACR

- Main entry—1XX
- Added entry—7XX
- Heading
- Chief source of  
information

# Structure of RDA Toolkit

- Based on FRBR (*Functional Requirements for Bibliographic Records*)
- Divided into sections with instructions that apply to all formats
  - ◆ Sections on recording attributes of works, expressions, manifestations, and items
  - ◆ Sections on recording relationships
  - ◆ No separate chapters for type of material
- Appendices with instructions on capitalization, abbreviations and symbols, initial articles, etc.

# RDA--Attributes and Relationships

- Attributes

- ◆ Descriptive elements of a resource

- ☞ Title, statement of responsibility, series, subjects, etc.

- Relationships

- ◆ How attributes relate to a resource

- ☞ i.e., Name of author, illustrator, editor, etc.

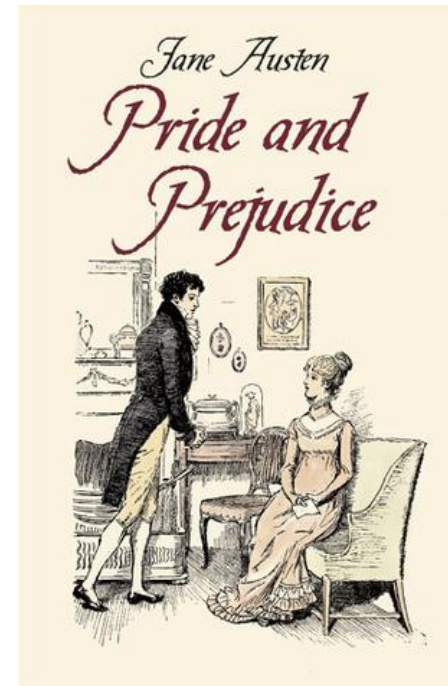
- ◆ How resources relate to other resources

- ☞ i.e., Film that is an adaptation of a book

# Example--Relationship of an attribute to a resource



Is the author of

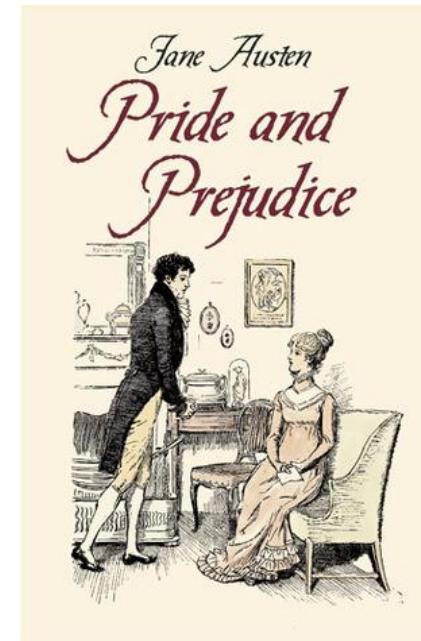


100 1\_ \$aAusten, Jane, \$d1775-1817, \$eauthor.  
245 10 \$aPride and prejudice / \$cJane Austen.

# Example--Relationship of one resource to another



Is an adaptation of



245 00 \$aBride and prejudice

500 \_\_ \$aBased on the novel Pride and prejudice by Jane Austen.

700 1\_ \$aAusten,Jane,\$d1775-1817.\$tPride and prejudice.



# RDA--Core elements

- Elements that must be recorded if present on the resource
- Most are required in both RDA and AACR
- RDA considers some elements optional that AACR requires
- LC has designated some elements as core that RDA doesn't require
  - ◆ SHARE cataloging follows LC practice, with some local exceptions

# Core elements

- Title proper
- Parallel title and other title information are core for LC—optional for RDA
- Statement of responsibility--author, illustrator, editor, etc.
- Publication information—place of publication, name of publisher, publication date
- Edition statement
- Series statement
- Identifier, i.e., ISBN

# Core elements—cont.

- Physical description—
  - ◆ Extent is core for RDA
  - ◆ Illustrative content and dimensions are core for LC

# Sources of information

- RDA
  - ◆ Title page *or*
  - ◆ The area on the item that gives the most complete information, i.e, cover, spine, caption, other preliminary pages, colophon,
  - ◆ Information is recorded in brackets only if it comes from somewhere other than the resource itself
  
- AACR: Chief source of information
  - ◆ Title page or title page substitute
  - ◆ Information is recorded in brackets if it comes from other than the chief or prescribed source of information, even if it's on the resource itself
    - ☞ i.e., author's name listed only in introduction

# Creator/Main Entry--1XX

## ■ RDA--Creator

- ◆ Subfield e (relator term) or 4 (relator code) in 1XX contains term or code that indicates relationship

100 1\_ \$aVivaldi, Antonio,\$d1678-1741,\$ecomposer.

100 1\_ \$aVivaldi, Antonio,\$d1678-1741,\$4cmp

## ■ AACR—Main entry

- ◆ Subfield e or 4 is not used with 1xx
- ◆ Hybrid records may or may not contain subfield e or 4 in 1XX

# Creator/Main Entry--1XX

## ■ RDA

- ◆ Allows fictitious characters and family names to be recorded as creator (1XX) when appropriate

## ■ AACR

- ◆ Fictitious characters and family names may only be used as subjects (6XX), not as main entry (1XX)
- ◆ *SHARE local practice*: edit AACR records in Polaris (not OCLC) to record fictitious characters and family names as main entry when appropriate

# Contributor/Added Entry--7XX

## ■ RDA--Contributor

- ◆ Subfield e (relator term) or 4 (relator code) in 7XX contains term or code that indicates relationship

700 1\_ \$aStreep, Meryl, \$eactor, \$edirector.

700 1\_ \$aStreep, Meryl, \$4act\$4drt

## ■ AACR/Hybrid—Added entry

- ◆ Optional to include subfield e or 4

# MARC Coding—identifying an RDA record

- **MARC tag 040**

- ◆ RDA--will contain subfield e with code *rda*
- ◆ AACR—subfield e will be absent or will be coded differently

- **Fixed field Desc (Descriptive cataloging form)**

- ◆ RDA--will be coded c, *i*, or will be blank
  - ☞ Code *i* is most common
- ◆ AACR—will be coded *a*



# MARC coding--brackets

## ■ RDA

- ◆ Subfields are bracketed separately

264 \_1\$a[Barnesville, Ohio] :\$b[First United Methodist Church],\$c[2014]

## ■ AACR

- ◆ Subfields are bracketed together

260 \_ \_\$a[Barnesville, Ohio :\$bFirst United Methodist Church,\$c2014]

# Differences in specific fields

- 1XX
- 245
- 250
- 260/264
- 3XX
- 5XX
- 7XX

# Transcription of Title

- **RDA**—Commonly entered as in AACR; optionally, may transcribe capitalization as it appears on the source
  - ☞ *SHARE local practice*: If title is in all capitals, change to AACR format to distinguish from on-order records
- **AACR**--Only first word and proper nouns are capitalized
- **Both**—introductory phrases are not transcribed as part of title

# Title Punctuation

## ■ RDA

- ◆ Transcribed as it appears
- ◆ Punctuation may be added if needed for clarity, i.e., to separate items in a list

## ■ AACR

- ◆ Transcribed as it appears, except ... which is replaced with -- and [ ] which is replaced with ( )
- ◆ Punctuation may be added if needed for clarity

# Errors in the title

- **RDA:** Transcribed as it is on the source; variant title added with correct form

On title page: Songs for summer fun

- ◆ **245 10\$a**Songs for summer fun.

- ◆ **246 3\_\$a**Songs for summer fun

- **AACR:** transcribed as it is on the source; [sic] or [i.e. with corrected form] inserted; variant title added with correct form

On title page: Songs for summer fun

- ◆ **245 10\$a**Songs for summer [sic] fun.      *or*

- ◆ **245 10\$a**Songs for summer [i.e. summer] fun.

- ◆ **246 3\_\$a**Songs for summer fun

# EXAMPLE

## **GRANTSMANSHIP for Small Libraries and School Library Media Centers**

### RDA option /AACR

245	1	0	Grantsmanship for small libraries and school library media centers ,
-----	---	---	--

### Another RDA option

245	1	0	GRANTSMANSHIP for Small Libraries and School Library Media Centers ,
-----	---	---	--

# General material designation (gmd)—245 subfield h

## ■ RDA

- ◆ Does not use gmd
  - ☞ Replaced by 336, 337, 338 fields

## ■ AACR

- ◆ Gmd is included in records for non-print formats
  - ☞ OCLC will begin stripping gmds in March 2016

- *SHARE local practice*: add gmd as needed to records for non-print formats and large print

# Statement of Responsibility

- Those responsible for intellectual and creative content
- **RDA (Creator/contributor)**
  - ◆ Transcribed from source
    - ☞ May include affiliations, titles of nobility, honor, and distinction, etc.
- **AACR (Main entry/added entry)**
  - ◆ Transcribed from source
    - ☞ Omits titles of nobility, address, honor, and distinction (with some exceptions)



# Statement of Responsibility—more than three names for one function

## ■ RDA

### ◆ Options:

- ☞ All names transcribed *or*
- ☞ First name transcribed, and any others as desired; phrase “[and ... others]” with appropriate number (numeral or spelled out) added

### ◆ First name recorded in 1XX as creator; other(s) in 7XX

#### Examples:

On title page: by Stephen King, Kitty Vaughn, Dean Koontz, Melanie Tem, and Jack Green

In record: **\$c**by Stephen King, Kitty Vaughn, Dean Koontz, Melanie Tem, and Jack Green. *or*

**\$c**by Stephen King, Dean Koontz [and 3 others]. *or*

**\$c**by Stephen King [and four others].

# Statement of Responsibility—more than three names for one function

## ■ AACR

- ◆ First name transcribed; phrase “... [et al.]” added
- ◆ First name only recorded as added entry in 7XX

## Example

On title page: by Stephen King, Kitty Vaughn, Dean Koontz, Melanie Tem, and Jack Green

In record: \$cby Stephen King ... [et al.].

# Example

**RDA--245 14 \$aThe Joys of Cataloging and Classification /\$cby Jane Blank, M.L.S., University of Alaska, with the assistance of Ariel Watson, M.L.S., University of Washington ; introduction by Becka Holmes, Ph.D., University of New Mexico.**

**AACR--245 14 \$aThe joys of cataloging and classification /\$cby Jane Blank with the assistance of Ariel Watson ; introduction by Becka Holmes.**

# Statement of Responsibility— grammatically linked noun or noun phrase

## ■ RDA

- ◆ Treated as part of statement of responsibility—  
recorded in subfield c

245 10\$aWinter in Alaska /\$cpoems by Mary A. Reed.

## ■ AACR

- ◆ Recorded in subfield b

245 10\$aWinter in Alaska :\$bpoems /\$cby Mary A. Reed.

# Edition Statement--250

## ■ RDA

- ◆ Transcribed from source, including capitalization and abbreviations

On item: Sixth Edition; In record: 250 \_\_ \$aSixth Edition.

## ■ AACR

- ◆ Abbreviated as instructed in Appendix B

On item: Sixth edition; In record: 250 \_\_ \$a6<sup>th</sup> ed.

On item: Second revised ed.; In record: 250 \_\_ \$a2<sup>nd</sup> rev. ed.

# Publication Information— 260 or 264

## ■ RDA

- ◆ Most have 264
- ◆ Records created before implementation of 264 (2011) may have 260

## ■ AACR/Hybrid

- ◆ AACR-only will have 260
- ◆ Hybrid may have either 260 or 264

# Publication, distribution, etc.

## ■ RDA

- ◆ May have multiple 264s for different functions—denoted by 2<sup>nd</sup> indicator

264 \_0\$aPlace of production :\$bProducer,\$cProduction date.

264 \_1\$aPlace of publication :\$bPublisher,\$cPublication date.

264 \_2\$aPlace of distribution :\$bDistributor,\$cDistribution date.

264 \_3\$aPlace of manufacture :\$bManufacturer,\$cDate of manufacture.

264 \_4\$cCopyright date

# Publication, distribution, etc.— cont.

## ■ AACR

- ◆ All information is in a single 260
  - ☞ Subfields a and b are repeatable
  - ☞ Subfield c is not repeatable

260 \_\_ \_\$aPlace of publication, distribution, etc. :\$bPublisher,  
distributor, etc.,\$cDate(s).



# Place of Publication

## ■ RDA options

- ◆ First named place recorded, regardless of country of cataloging agency *or*
- ◆ Only first named place recorded

## ■ AACR

- ◆ First named place recorded
- ◆ If first named place is not in country of cataloging agency, first named place in country of cataloging is also recorded

# Place of Publication—cont.

## ■ RDA

- ◆ Transcribed as it appears on item, including abbreviations
- ◆ Place names may be added for clarity
  - ☞ In brackets and spelled out

## ■ AACR

- ◆ Abbreviated places transcribed as they appear
- ◆ Spelled-out countries, states, provinces, etc. are abbreviated as instructed in Appendix B
- ◆ Place names may be added for clarity
  - ☞ In brackets and abbreviated as instructed in Appendix B

# No place of publication on the item

## ■ RDA

- ◆ Place name is entered in brackets *or*
- ◆ Phrase “[Place of publication not identified]” is used
- ◆ LC practice is to supply a place if possible

## ■ AACR

- ◆ Place name is entered in brackets *or*
- ◆ Abbreviation “[S.I.]” is used

# Example

## RDA

264 \_1\$aLondon *or*

264 \_1\$aLondon ;\$aChicago ;\$aNew York  
;\$aParis

## AACR

260 \_\_\_\$aLondon ;\$aChicago

# Publisher

- **RDA**

- ◆ Transcribed as it appears on resource

Windmill Books, Inc., an imprint of Rosen Publishing LTD

- **AACR**

- ◆ Name recorded in the shortest form that still clearly identifies the entity

- ☞ Abbreviations such as Inc., LTD, etc. are not recorded

Windmill Books

- ◆ Appendix B prescribes abbreviation of some terms

# Publisher name unclear or absent

## ■ RDA

- ◆ Probable name supplied in brackets *or*
- ◆ Phrase “[publisher not identified]” is used
- ◆ Distributor name supplied if available and no publisher

## ■ AACR

- ◆ Probable name supplied in brackets *or*
- ◆ Abbreviation “[s.n.]” is used

# Dates

## ■ RDA

### ◆ Publication date

- ☞ Recorded in 264 \_1, subfield c
- ☞ If no publication date on item, copyright date recorded in brackets as implied publication date

### ◆ Copyright date

- ☞ Recorded in 264 \_4, subfield c
- ☞ Date is preceded by copyright symbol or the word “copyright”

On item: no pub. date, copyright 2012. In record: 264 \_1\$c[2012]

264 \_4\$c©2012

## ■ AACR

### ◆ Publication date and copyright date

- ☞ Recorded in 260, subfield c
- ☞ Copyright date preceded by lowercase c

On item: publication date 2013, copyright 2012. In record: 260 \_\_\$c2013, c2012.

# No date on item

## ■ RDA

- ◆ Estimated date recorded in brackets *or*
- ◆ Phrase “[date of publication not identified]” is used

## ■ AACR

- ◆ Estimated date recorded in brackets

**Examples:** [2010]—implied or probable year

[2010?]  
—possible year

[199-?]  
—possible decade

[19--?]  
—possible century

**Note:** Phrase “[n.d.]” is sometimes seen in pre-AACR records



# EXAMPLE—Publication and copyright date

1999

Libraries Unlimited, Inc.  
Englewood, Colorado  
Copyright © 1999

## RDA

264		1	Englewood, Colorado. : #b Libraries Unlimited, Inc., #c 1999.
264		4	#c ©1999

<a href="#">DtSt</a>	t	<a href="#">Dates</a>	1999	,	1999
----------------------	---	-----------------------	------	---	------

## AACR

260			Englewood, Colo. : #b Libraries Unlimited, #c 1999.
-----	--	--	---

<a href="#">DtSt</a>	s	<a href="#">Dates</a>	1999
----------------------	---	-----------------------	------

# EXAMPLE—Copyright date only

Libraries Unlimited, Inc.  
Englewood, Colorado

Copyright © 1999

## RDA

264		1	Englewood, Colorado. : #b Libraries Unlimited, Inc., #c [1999]
264		4	#c ©1999

<a href="#">DtSt</a>	t	<a href="#">Dates</a>	1999	,	1999
----------------------	---	-----------------------	------	---	------

## AACR

260			Englewood, Colo. : #b Libraries Unlimited, #c c1999.
-----	--	--	--

<a href="#">DtSt</a>	s	<a href="#">Dates</a>	1999
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# Physical description—MARC tag 300

- Few differences between RDA and AACR
- **RDA**
  - ◆ Does not use abbreviations
    - ☞ May see variations in spelling (i.e., color or colour)
  - ◆ “cm” considered a symbol
- **AACR**
  - ◆ Abbreviate as instructed in Appendix B
  - ◆ “cm” considered an abbreviation

# Pages not numbered

## ■ RDA

- ◆ Phrase “[number] unnumbered pages” is used (number is not bracketed) *or*
- ◆ Phrase “1 volume (unpaged)” is used

## ■ AACR

- ◆ Supplied number recorded in brackets *or*
- ◆ Phrase “1 v. (unpaged)” is used

# Example

## RDA

300 \_\_ \_ \$a14 pages :\$bcolor illustrations ;\$c22 cm.

## AACR

300 \_\_ \_ \$a14 p. :\$bcol. ill. ;\$c22 cm.

## Both

Ends in period if followed by series statement  
(MARC tag 490)

# MARC tags 336, 337, 338

- Present in RDA records and hybrid records
  - ◆ Physical characteristics based on format
    - ☞ Subfield a contains term
    - ☞ Subfield b contains coded form of term
    - ☞ Subfield 2 contains source of term
    - ☞ Subfield a or b required; optional to include both
      - OCLC macro provides both
- If record contains more than one format, will have 336, 337, and 338 for each format

# MARC tags 336, 337, 338

- In book records:

## 336 Content type

General type of content of the resource\*

336\_\_**\$a**text**\$b**txt**\$2**rdacontent

## 337 Media type

General type of intermediation device required to view, play, run, etc. the content of a resource.\* (Books do not require a device, so are unmediated)

337\_\_**\$a**unmediated**\$b**n**\$2**rdamedia

# MARC tags 336, 337, 338—cont.

## 338 Carrier type

Format of the storage medium and housing of a carrier in combination with the media type\*

338\_\_**\$a**volume**\$bnc\$2rdac**carrier

\*Definitions of fields are from MARC21 site on [loc.gov](http://loc.gov)



# Example

## Book with accompanying music CD

336 \_\_ **\$atext\$btxt\$2rdacontent**

336 \_\_ **\$a performed music\$bprm\$2rdacontent**

337 \_\_ **\$aunmediated\$bnc\$2rdamedia**

337 \_\_ **\$a audio\$bs\$2rdamedia**

338 \_\_ **\$avolume\$bnc\$2rdacarrier**

338 \_\_ **\$a audio disc\$bsd\$2rdacarrier**

# Other 3XX tags

If book is large print

340      Physical medium

340 \_ \_ \$nlarge print\$2rda

# Note fields—MARC tag 5XX

- **RDA**

- ◆ Does not prescribe an order of notes

- **AACR**

- ◆ Notes entered in order of importance of the information, not numerically by tag
- ◆ Order differs for different formats

# RDA record—fields with changes

[Desc](#) i

040			IEU #b eng #e rda #c IEU
-----	--	--	--------------------------

100	1		Hall-Ellis, Sylvia D., #e author.
-----	---	--	-----------------------------------

245	1	0	Grantsmanship for small libraries and school library media centers / #c Sylvia D. Hall-Ellis [and three others] ; edited by Frank W. Hoffmann.
-----	---	---	--

264		1	Englewood, Colorado. : #b Libraries Unlimited, Inc., #c 1999.
-----	--	---	---

264		4	#c ©1999
-----	--	---	----------

300			xiii, 173 pages : #b illustrations ; #c 26 cm
-----	--	--	---

336			text #b txt #2 rdacontent
-----	--	--	---------------------------

337			unmediated #b n #2 rdamedia
-----	--	--	-----------------------------

338			volume #b nc #2 rdacarrier
-----	--	--	----------------------------

504			Includes bibliographical references (pages 101-114) and index.
-----	--	--	--

700	1		Hoffmann, Frank W., #d 1949- #e editor.
-----	---	--	---

# AACR record—fields with changes

[Desc](#)

a

040			DLC #b eng #c DLC #d BAKER :
-----	--	--	------------------------------

245	0	0	Grantsmanship for small libraries and school library media centers / #c Sylvia D. Hall-Ellis ... [et al.] ; edited by Frank W. Hoffmann.
-----	---	---	--

260			Englewood, Colo. : #b Libraries Unlimited, #c 1999.
-----	--	--	---

300			xiii, 173 p. : #b ill. ; #c 26 cm.
-----	--	--	------------------------------------

504			Includes bibliographical references (p. 101-114) and index.
-----	--	--	---

700	1		Hall-Ellis, Sylvia D.
-----	---	--	-----------------------

700	1		Hoffmann, Frank W., #d 1949-
-----	---	--	------------------------------

# Where to find the rules

- RDA— *Resource Description and Access*
  - ◆ Online at [www.rdatoolkit.org](http://www.rdatoolkit.org)
    - ☞ Yearly subscription
  - ◆ Print through ALA
- AACR-- *Anglo-American Cataloguing Rules*
  - ◆ Print only, through ALA

# SHARE local practice—RDA and hybrid records

- Do not make changes to RDA or hybrid records, EXCEPT:
  - ◆ Add a gmd (245 \$h) to records for non-print materials and large print books. Use the terms in AACR, para. 1.1C1, list 2
  - ◆ Edit as needed to fix incorrect cataloging (i.e., fixed field coding is wrong) or to add subject headings, notes, summaries, etc.
  - ◆ If a title has been entered in all capital letters, edit to AACR formatting. Otherwise, accept title formatting as it appears. This applies to a title in any MARC field in the record
- Verify names, series, and subjects as usual

# RDA implementation in SHARE

- RDA implementation subcommittee
  - ◆ Subcommittee of SHARE Bibliographic and Cataloging Standards Committee
  - ◆ Preparing proposal for implementation of RDA cataloging in SHARE
    - ☞ Database cleanup
    - ☞ Training
    - ☞ Review of options
- Committee will formulate recommendations for local practice on RDA options
  - ◆ Will present recommendations for membership vote



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Questions?